

OGE FORM 202, PART 1

## NOTIFICATION OF CONFLICT OF INTEREST REFERRAL PART 1: INITIAL NOTIFICATION

*(to be filed by the referring office)*

File Part 1 of this form with the U.S. Office of Government Ethics (OGE) upon any referral made to the U.S. Department of Justice (DOJ), pursuant to 28 U.S.C. § 535, involving:

- (1) A possible violation under section 203, 205, 207, 208, and/or 209 of title 18, United States Code;
- (2) A civil or criminal matter related to the filing or non-filing of a financial disclosure report under applicable legal authorities (*e.g.*, 5 U.S.C. app. § 104 or 18 U.S.C. § 1001); or
- (3) A civil matter involving outside earned income under 5 U.S.C. app. § 501 or outside activities under 5 U.S.C. app. § 502.

Submit this form via email to [referrals@oge.gov](mailto:referrals@oge.gov). OGE will confirm receipt and notify the referring office of the OGE tracking number assigned.

1. Federal office referring the matter to the Department of Justice:

Office of Management and Budget

2. Date of referral:

February 22, 2017

3. Point of contact at the referring office:

Assistant General Counsel and ADAEO

Name: Yasaman Sutton

Title:

Telephone: (b) (6)

Email: (b) (6)

4. Tracking/investigation number assigned by the referring office:

NA

5. Department of Justice office to which the matter was referred:

Public Integrity Section, Criminal Division

U.S. Attorney (*specify district*)

Other DOJ office (*specify office*)

OGE use only

OGE tracking number:

Date received:

## NOTIFICATION OF CONFLICT OF INTEREST REFERRAL PART 2: DISPOSITION OF REFERRAL

*(to be filed by the referring office)*

File Part 2 of this form with the U.S. Office of Government Ethics (OGE) following the referral made to the U.S. Department of Justice (DOJ), pursuant to 28 U.S.C. § 535, after both of the following have occurred:

- (1) DOJ has (a) declined prosecution; (b) initiated prosecution or taken other legal action that is a matter of public record; or (c) settled the matter through formal agreement; **and**
- (2) Investigation of the matter has concluded.

Submit this form via email to [referrals@oge.gov](mailto:referrals@oge.gov). OGE will confirm receipt.

1. Federal office referring the matter to the Department of Justice:

Office of the General Counsel, Office of Management and Budget

2. Point of contact at the referring office:

Assistant General Counsel and ADAEO

Name: Yasaman Sutton

Title:

Telephone:

(b) (6)

Email:

(b) (6)

3. Tracking/investigation number assigned by the referring office:

NA

4. OGE tracking number:

R-2017-02-OMB-001

5. Statutes involved in the matter *(mark all that apply)*:

- |   |   |
|---|---|
| <input type="checkbox"/> 18 U.S.C. § 203            | <input type="checkbox"/> 18 U.S.C. § 1001 <i>(in connection with financial disclosure or other ethics documents only)</i> |
| <input type="checkbox"/> 18 U.S.C. § 205            | <input type="checkbox"/> 5 U.S.C. app. § 104 <i>(financial disclosure)</i>  |
| <input type="checkbox"/> 18 U.S.C. § 207            | <input type="checkbox"/> 5 U.S.C. app. § 501 <i>(outside earned income)</i>   |
| <input checked="" type="checkbox"/> 18 U.S.C. § 208 | <input type="checkbox"/> 5 U.S.C. app. § 502 <i>(outside activities)</i>  |
| <input type="checkbox"/> 18 U.S.C. § 209            | <input type="checkbox"/> Other <i>(specify):</i>  |

6. Disposition of the referral to DOJ:

The Public Integrity Section has closed this matter.

7. Has there been an adverse finding regarding the employee involved related to the Standards of Ethical Conduct for Employees of the Executive Branch (5 C.F.R. part 2635) or agency supplemental standards of conduct?

yes (complete boxes 8-12 below)

no (do not complete the rest of this form)

If the response in box 7 is "yes," complete boxes 8-12, below.

8. Name and title of the employee or former employee involved:

9. Agency component where the employee/former employee is/was employed:

10. Has the employing agency considered taking corrective action against the employee?

yes

no

unknown

11. Have you elected to attach either a report of investigation or summary?

yes

no

12. (optional) Provide any other information you consider pertinent: